



香港灣仔軒尼詩道 288 號英皇集團中心 28 樓 28/F, Emperor Group Centre, 288 Hennessy Road, Wanchai, Hong Kong

香港電話號碼 Hong Kong Contact No.:(852) 8206 2500

中國免費長途電話號碼 China Toll Free Contact No.: 4001 201818

電郵 Email: account@empfs.com

* 郵寄文件信封上請註明「英皇金業有限公司」。

* For mailing document, please state the name of "Emperor Bullion Limited" on the envelope.

| CRS Self-Certificatio | n Form – Individ | dual |
|------------------------------|------------------|------|
| 共同匯報標準(CRS) | 自我證明表格 - | 個人 |

| Account Number | |
|------------------------|--|
| 帳戶號碼 | |
| Account Executive Code | |
| 營業代表號碼 | |

香港傳真號碼 Hong Kong Fax No.: (852) 8206 2261

網址 Website: www.empfs.com

中國免費傳真號碼 China Toll Free Fax No.: 4001 202808

Important Notes 重要提示

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• This is a self-certification form provided by an account holder to Emperor Bullion Limited ("The Company") for the purpose of automatic exchange of financial account information. The data collected may be transmitted by the company to the Inland Revenue Department for transfer to the tax authority of another jurisdiction.

這是由帳戶持有人向英皇金業有限公司("本公司")提供的自我證明表格,以作自動交換財務帳戶資料用途。本公司可把收集所得的資料交給稅務局,稅務局會 將資料轉交到另一稅務管轄區的稅務當局。

An account holder should report all changes in his/her tax residency status to the company.

- 如帳戶持有人的稅務居民身分有所改變,應盡快將所有變更通知本公司。
- All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields/parts marked with an asterisk (*) are required to be reported by the company to the Inland Revenue Department.
 除不適用或特別註明外,必須填寫本表格所有部分。如本表格上的空位不夠應用,可另紙填寫。在欄/部標有星號(*)的項目為本公司須向稅務局申報的資料。

Part 1: Identification of Individual Account Holder 第1部分:個人帳戶持有人的身分識辨資料

Note: For joint or multiple account holders, complete a <u>separate</u> form for each individual account holder. 對於聯名帳戶或多人聯名帳戶,每名個人帳戶持有人須<u>分別</u>填寫一份表格 Please tick where applicable. 請在適當的地方加上剔號。

| Name of Account Holder 帳戶持有人的姓名 | Title 稱調: □Mr 先生 □Mrs 太太 | □Miss 小姐 □Ms 女士 [|]Other 其他 | |
|--|---|----------------------------|-----------------------|--|
| | *Family Name or Surname(s) 姓氏 | *First or Given Name 名字 | Middle Name(s) 中間名 | |
| Hong Kong Identity Card or Passport Number 香港身份證或護照號碼 | | | | |
| Nationality 國籍 | | | | |
| Current Residence Address 現時住址 | Line 1 (e.g. Suite, Floor, Building, Street, District) 地址 1(例如:室、樓層、大廈、街道、地區) | | | |
| | *Line 2 (City) 地址 2 (城市) | | | |
| | Line 3 (e.g. Province, State) 地址 3 (省、州) | | | |
| | *Country 國家 | | | |
| | Post Code / ZIP Code (if any) 郵政編碼 / 郵遞區號碼 (如有) | | | |
| Mailing Address (Complete if different to the current residence address) | Line 1 (e.g. Suite, Floor, Building, Street, District) 地址 1 (例如:室、樓層、大廈、街道、地區) | | | |
| 通訊地址 (如通訊地址與現時住址不同, | Line 2 (City) 地址 2 (城市) | | | |
| 請填寫此欄) | Line 3 (e.g. Province, State) 地址 3 (省、州) | | | |
| | Country 國家 | | | |
| | Post Code / ZIP Code (if any) 郵政編碼 / 郵遞區號碼 (如有) | | | |
| *Date of Birth 出生日期 | | (dd/mm/yyyy 日/ | 月/年) | |
| Place of Birth 出生地點 | Town or City of Birth 出生郷鎮/城市 | | | |
| | Country of Birth 出生國家 | | | |

Part 2: *Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent ("TIN") 第 2 部分:*居留司法管轄區及稅務編號或具有等同功能的識辨編號(「稅務編號」)

Complete the following table indicating (a) the jurisdiction of residence (including Hong Kong) where the account holder is a resident for tax purposes and (b) the account holder's TIN for each jurisdiction indicated. Indicate <u>ALL</u> (not restricted to five) jurisdictions of residence. 提供以下資料,列明(a) 帳戶持有人的居留司法管轄區,亦即帳戶持有人的稅務管轄區(香港包括在內)及(b) 該居留司法管轄區發給帳戶持有人的稅務編號。列出<u>所有</u>(不限於 5 個) 居留司法管轄區。

If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number. 如帳戶持有人是香港稅務居民,稅務編號是其香港身份證號碼。

| Jurisdiction of Residence 居留司法管轄區 | TIN 稅務編號 |
|-----------------------------------|----------|
| (1) | |
| (2) | |
| (3) | |
| (4) | |
| (5) | |

Part 3: Declarations and Signature 第3部分:聲明和簽署

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by Emperor Bullion Limited for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by Emperor Bullion Limited to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

本人知悉及同意,英皇金業有限公司可根據《稅務條例》(第112章)有關交換財務帳戶資料的法律條文,(a)收集本表格所載資料並可備存作自動 交換財務帳戶資料用途及(b)把該等資料和關於帳戶持有人及任何須申報帳戶的資料向香港特別行政區政府稅務局申報,從而把資料轉交到帳戶 持有人的居留司法管轄區的稅務當局。

I certify that I am the account holder / I am authorized to sign for the account holder # of all the account(s) to which this form relates. 本人證明,就與本表格所有相關的帳戶,本人是帳戶持有人/本人獲帳戶持有人授權簽署本表格#。

I undertake to advise Emperor Bullion Limited of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide Emperor Bullion Limited with a suitably updated self-certification form within 30 days of such change in circumstances.

本人承諾,如情況有所改變,以致影響本表格第1部所述的個人的稅務居民身分,或引致本表格所載的資料不正確,本人會通知英皇金業有限公司,並會在情況發生改變後30日內,向英皇金業有限公司提交一份已適當更新的自我證明表格。

I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete. 本人聲明就本人所知所信,本表格內所填報的所有資料和聲明均屬真實、正確和完備。

Delete as appropriate 删去不適用者

Signature 簽署

(Please use specimen signature(s) field with the Company) (請以留存於本公司之簽署式樣簽署)

Print Name 打印名稱:__

Date 日期 (dd/mm/yyyy 日/月/年):_

Capacity 身分聲明:

(Note: Indicate the capacity if you are not the individual identified in Part 1. If signing under a power of attorney, attach a certified copy of the power of attorney.附註:如你不是第1部所述的個人,說明你的身分。如果你是以受權人身分簽署本表格,須夾附該授權書的核證副本。)

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).

警告:根據《稅務條例》第 80(2E)條,如任何人在作出自我證明時,在明知一項陳述在要項上屬具誤導性、虛假或不正確,或罔顧一項陳述是否在要項上屬具誤導性、 虛假或不正確下,作出該項陳述,即屬犯罪。一經定罪,可處第 3 級(即\$10,000)罰款。